

Additional key individuals introducer form

Director / Partner details

Please complete the below section for a maximum of 4 directors / partners (Additional sheets have been provided as a separate document for Director / Partner 3 and 4 to provide their information). To clarify, where the business has 4 or less, we require this information for all Directors / Partners. Where there are more than 4, we require the information for the 4 main Directors / Partners i.e. the 4 Key Decision Makers.

Director / Partner 3	Director / Partner 4
Full name:	Full name:
Date of birth: / /	Date of birth: / /
Is this director also a shareholder (if applicable)? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this director also a shareholder (if applicable)? <input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, shareholding percentage held:	If Yes, shareholding percentage held:
Role (e.g Managing Director, Finance Director):	Role (e.g Managing Director, Finance Director):
Direct dial number:	Direct dial number:
Mobile phone number:	Mobile phone number:
Current home address:	Current home address:
Postcode:	Postcode:
1. Has this Director or Partner: a) Been the subject of any investigation or regulatory or enforcement action or proceedings involving actual or alleged financial crime? <input type="checkbox"/> Yes <input type="checkbox"/> No b) Been disqualified from acting as a Company Director? <input type="checkbox"/> Yes <input type="checkbox"/> No c) Been a Director of a company which was wound up, dissolved, liquidated or put in administration? <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)? <input type="checkbox"/> Yes <input type="checkbox"/> No	1. Has this Director or Partner: a) Been the subject of any investigation or regulatory or enforcement action or proceedings involving actual or alleged financial crime? <input type="checkbox"/> Yes <input type="checkbox"/> No b) Been disqualified from acting as a Company Director? <input type="checkbox"/> Yes <input type="checkbox"/> No c) Been a Director of a company which was wound up, dissolved, liquidated or put in administration? <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)? <input type="checkbox"/> Yes <input type="checkbox"/> No
If you've answered 'Yes' to any of the above, please provide details (please use separate sheet where applicable):	If you've answered 'Yes' to any of the above, please provide details (please use separate sheet where applicable):

Declaration

I / we will inform Recognise of any changes in the information provided and / or our circumstances which occur either before or after appointment to the panel.

Data retention: We will keep your personal data for seven years from end of last financial year of our business relationship with you. Fraud prevention agencies can hold your personal data for different periods of time. If you wish to exercise any of your rights or request a copy of the information we hold, please contact us by letter or email using the addresses set out at the bottom of our Privacy Policy www.recognisebank.co.uk/privacy-policy/

I / we understand and accept that by signing this declaration we attest that the information provided is correct in every respect and that Recognise will rely on the truth and accuracy of the information. I / we are aware that it is an offence to knowingly provide false misleading or inaccurate information when making an application and in that event I / we could face criminal prosecution and/or civil action for recovery of any losses incurred by Recognise Financial Services Limited and / or its affiliated companies.

☐

Please tick this box to confirm that you have read and you agree to the Introducer 'Terms of Business'.

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Please tick this box if you would like to receive information about products and services, including other marketing communications from Recognise.

Signed (first Applicant Director / Sole Trader / Partner)

Name:

Signature:

Date: / /

Position:

Signed (second Applicant Director / Sole Trader / Partner)

Name:

Signature:

Date: / /

Position: